

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Peter R. Baum et al.

Serial No.: 09/972,268

Group Art Unit No.: 1644

Filed: October 5, 2001

Examiner: Maher M. Haddad

For: NECTIN POLYPEPTIDES

Docket No.: 3101-A

**INFORMATION DISCLOSURE STATEMENT**  
**BEFORE**  
**MAILING DATE OF EITHER A FINAL ACTION OR NOTICE**  
**OF ALLOWANCE (37 CFR 1.97(c))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, applicant(s) submit(s) a "List of References Cited by Applicant" on a modified PTO-1449 form and provide(s) a copy of each of the listed references for consideration by the Examiner. This Information Disclosure Statement is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last, but **before** the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,  
whichever occurs first.

Please charge Deposit Account No. 09-0089 in the amount of \$180.00 (37 CFR 1.97(c)). An original and one copy are enclosed.

Applicant(s) request(s) consideration of this information and passage of the application to issue.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 09-0089. An original and one copy are enclosed.

Respectfully submitted,



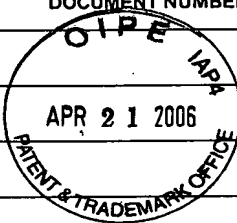
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Date: April 21, 2006

Please send all future correspondence to:

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1201 Amgen Court West  
Seattle, Washington 98119-3105  
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Modified Form PTO-1449		Atty. Docket No. 3101-A	Serial No. 09/972,268
<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)		Applicant Peter R. Baum et al.	
		Filing Date October 5, 2001	Group 1644

### U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
							YES	NO
	B1							
	B2							
	B3							

### OTHER DOCUMENTS (Including Publisher, Author, Title, Date, Pertinent Pages, Etc.)

	C1	Cocchi F et al., "The V domain of herpesvirus Ig-like receptor (HlgR) contains a major functional region in herpes simplex virus-1 entry into cells and interacts physically with the viral glycoprotein D," <i>Proc. Natl. Acad. Sci. USA</i> , 95:15700-15705; 1998.
	C2	EMBL Database accession no. AF195835, "Mus musculus cell adhesion molecule nectin-3 gamma mRNA, complete cds.," April 14, 2000.
	C3	EMBL Database accession no. AF282874, "Homo sapiens nectin 3 mRNA, complete cds.," August 7, 2000.
	C4	Lopez M et al., "Nectin2 $\alpha$ (PRR2 $\alpha$ or HveB) and Nectin2 $\delta$ Are Low-Efficiency Mediators for Entry of Herpes Simplex Virus Mutants Carrying the Leu25Pro Substitution in Glycoprotein D," <i>J. Virol.</i> 74:1267-1274; 2000.
	C5	Lopez M et al., "Novel, Soluble Isoform of the Herpes Simplex Virus (HSV) Receptor Nectin1 (or PRR1-HlgR-HveC) Modulates Positively and Negatively Susceptibility to HSV Infection," <i>J. Virol.</i> 75:5684-5691, 2001.
	C6	UniProt Database accession no. Q9JLB8, "Cell adhesion molecule nectin-3 beta," October 1, 2000.
	C7	European Search Report, EP 01981410, mailed January 16, 2006.

EXAMINER:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.